



**STOCKPORT**  
METROPOLITAN BOROUGH COUNCIL

# **Records Retention Schools**

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Information Governance – Stockport Metropolitan Borough Council

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# Version Control

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# Retention Guidelines

## 1. The purpose of the retention guidelines

Under the Freedom of Information Act 2000, schools are required to maintain a retention schedule listing the record series which the school creates in the course of its business. The retention schedule lays down the length of time which the record needs to be retained and the action which should be taken when it is of no further administrative use. The retention schedule lays down the basis for normal processing under both the Data Protection Act 1998 and the Freedom of Information Act 2000.

Members of staff are expected to manage their current record keeping systems using the retention schedule and to take account of the different kinds of retention periods when they are creating new record keeping systems.

The retention schedule refers to record series regardless of the media in which they are stored.

## 2. Benefits of a retention schedule

There are a number of benefits which arise from the use of a complete retention schedule:

Managing records against the retention schedule is deemed to be “normal processing” under the Data Protection Act 1998 and the Freedom of Information Act 2000. Members of staff should be aware that once a Freedom of Information request is received or a legal hold imposed then records disposal relating to the request or legal hold must be stopped.

Members of staff can be confident about safe disposal information at the appropriate time.

Information which is subject to Freedom of Information and Data Protection legislation will be available when required. The school is not maintaining and storing information unnecessarily.

## 3. Maintaining and amending the retention schedule

Where appropriate the retention schedule should be reviewed and amended to include any new record series created and remove any obsolete record series.

This retention schedule contains recommended retention periods for the different record series created and maintained by schools in the course of their business. The schedule refers to all information regardless of the media in which it is stored.

Some of the retention periods are governed by statute. Others are guidelines following best practice. Every effort has been made to ensure that these retention periods are compliant with the requirements of the Data Protection Act 1998 and the Freedom of Information Act 2000.

Managing record series using these retention guidelines will be deemed to be “normal processing” under the legislation mentioned above. If record series are to be kept for longer or shorter periods than laid out in this document the reasons for this need to be documented.

## Using the Retention Schedule

The Retention Schedule is divided into five sections:

1. Management of the School
2. Human Resources
3. Financial Management of the School
4. Property Management
5. Pupil Management
6. Curriculum Management
7. Extra-Curricular Activities
8. Central Government and Local Authority



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## Management of the School

This section contains retention periods connected to the general management of the school. This covers the work of the Governing Body, the Headteacher and the senior management team, the admissions process and operational administration

| 1.1 Governing Body |  |  |                                |   |   |
|--------------------|--|--|--------------------------------|---|---|
|                    | Basic file description   | Data Protection Issues   | Statutory Provisions           | Retention Period [Operational]  | Action at the end of the administrative life of the record  |
| 1.1.1              | Agendas for Governing Body meetings  | There may be data protection issues if the meeting is dealing with confidential issues relating to staff |                                | One copy should be retained with the master set of minutes. All other copies can be disposed of   | SECURE DISPOSAL <sup>1</sup>  |
| 1.1.2              | Minutes of Governing Body meetings   | There may be data protection issues if the meeting is dealing with confidential issues relating to staff |                                |   |   |
|                    | Principal Set (signed)   |  |                                | PERMANENT   | If the school is unable to store these then they should be offered to the County Archives Service |
|                    | Inspection Copies  |  |                                | Date of meeting + 3 years   | If these minutes contain any sensitive, personal information they must be shredded.               |
| 1.1.3              | Reports presented to the Governing Body  | There may be data protection issues if the meeting is dealing with confidential issues relating to staff |                                | Reports should be kept for a minimum of 6 years. However, if the minutes refer directly to individual reports then the reports should be kept permanently | SECURE DISPOSAL or retain with the signed set of the minutes                                      |
| 1.1.4              | Meeting papers relating to the annual parents' meeting held under section 33 of the Education Act 2002 | No   | Education Act 2002, Section 33 | Date of the meeting + a minimum of 6 years  | SECURE DISPOSAL   |

<sup>1</sup> In this context SECURE DISPOSAL should be taken to mean disposal using confidential waste bins, or if the school has the facility, shredding using a cross cut shredder

## 1.1 Governing Body

|        | Basic file description  | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]   | Action at the end of the administrative life of the record   |
|--------|---|------------------------|--|--|--|
| 1.1.5  | Instruments of Government including Articles of Association   | No                     |  | PERMANENT  | These should be retained in the school whilst the school is open and then offered to County Archives Service when the school closes. |
| 1.1.6  | Trusts and Endowments managed by the Governing Body   | No                     |  | PERMANENT  | These should be retained in the school whilst the school is open and then offered to County Archives Service when the school closes. |
| 1.1.7  | Action plans created and administered by the Governing Body   | No                     |  | Life of the action plan + 3 years  | SECURE DISPOSAL  |
| 1.1.8  | Policy documents created and administered by the Governing Body   | No                     |  | Life of the policy + 3 years   | SECURE DISPOSAL  |
| 1.1.9  | Records relating to complaints dealt with by the Governing Body   | Yes                    |  | Date of the resolution of the complaint + a minimum of 6 years then review for further retention in case of contentious disputes | SECURE DISPOSAL  |
| 1.1.10 | Annual Reports created under the requirements of the Education (Governor's Annual Reports) (England) (Amendment) Regulations 2002 | No                     | Education (Governor's Annual Reports) (England) (Amendment) Regulations 2002 SI 2002 No 1171 | Date of report + 10 years  | SECURE DISPOSAL  |
| 1.1.11 | Proposals concerning the change of status of a maintained school including Specialist Status Schools and Academies                | No                     |  | Date proposal accepted or declined + 3 years   | SECURE DISPOSAL  |

## 1.2 Head Teacher and Senior Management Team

|       | Basic file description   | Data Protection Issues  | Statutory Provisions | Retention Period [Operational]                                    | Action at the end of the administrative life of the record   |
|-------|--|---|----------------------|---|--|
| 1.2.1 | Log books of activity in the school maintained by the Head Teacher   | There may be data protection issues if the log book refers to individual pupils or members of staff       |                      | Date of last entry in the book + a minimum of 6 years then review | These could be of permanent historical value and should be offered to the County Archives Service if appropriate |
| 1.2.2 | Minutes of Senior Management Team meetings and the meetings of other internal administrative bodies  | There may be data protection issues if the minutes refers to individual pupils or members of staff        |                      | Date of the meeting + 3 years then review                         | SECURE DISPOSAL  |
| 1.2.3 | Reports created by the Head Teacher or the Management Team   | There may be data protection issues if the report refers to individual pupils or members of staff         |                      | Date of the report + a minimum of 3 years then review             | SECURE DISPOSAL  |
| 1.2.4 | Records created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities        | There may be data protection issues if the records refer to individual pupils or members of staff         |                      | Current academic year + 6 years then review                       | SECURE DISPOSAL  |
| 1.2.5 | Correspondence created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities | There may be data protection issues if the correspondence refers to individual pupils or members of staff |                      | Date of correspondence + 3 years then review                      | SECURE DISPOSAL  |
| 1.2.6 | Professional Development Plans   | No  |                      | Life of the plan + 6 years  | SECURE DISPOSAL  |
| 1.2.7 | School Development Plans   | No  |                      | Life of the plan + 3 years  | SECURE DISPOSAL  |

## 1.3 Admissions Process

|       | Basic file description   | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]  | Action at the end of the administrative life of the record   |
|-------|--|------------------------|--|---|--|
| 1.3.1 | All records relating to the creation and implementation of the School Admissions' Policy | No                     | School Admissions Code<br>Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014 | Life of the policy + 3 years then review  | SECURE DISPOSAL  |
| 1.3.2 | Admissions – if the admission is successful  | Yes                    | School Admissions Code<br>Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014 | Date of admission + 1 year  | SECURE DISPOSAL  |
| 1.3.3 | Admissions – if the appeal is unsuccessful   | Yes                    | School Admissions Code<br>Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014 | Resolution of case + 1 year   | SECURE DISPOSAL  |
| 1.3.4 | Register of Admissions   | Yes                    | School attendance:<br>Departmental advice for maintained schools, academies, independent schools and local authorities October 2014  | Every entry in the admission register must be preserved for a period of three years after the date on which the entry was made. | REVIEW<br>Schools may wish to consider keeping the admission register permanently as often schools receive enquiries from past pupils to confirm the dates they attended the school. |
| 1.3.5 | Admissions – Secondary Schools – Casual  | Yes                    |  | Current year + 1 year   | SECURE DISPOSAL  |



|       |   |     |  |  |                 |
|-------|---|-----|--|--|-----------------|
| 1.3.6 | Proofs of address supplied by parents as part of the admissions process                                   | Yes | School Admissions Code<br>Statutory guidance for admission authorities, governing bodies, local authorities, schools adjudicators and admission appeals panels December 2014 | Current year + 1 year                              | SECURE DISPOSAL |
| 1.3.7 | Supplementary Information form including additional information such as religion, medical conditions etc. | Yes |  |  |                 |
|       | For successful admissions   |     |  | This information should be added to the pupil file | SECURE DISPOSAL |
|       | For unsuccessful admissions   |     |  | Until appeals process completed                    | SECURE DISPOSAL |



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## 1.4 Operational Administration

|       | Basic file description  | Data Protection Issues | Statutory Provisions | Retention Period [Operational]     | Action at the end of the administrative life of the record |
|-------|---|------------------------|----------------------|------------------------------------|--|
| 1.4.1 | General file series   | No                     |                      | Current year + 5 years then REVIEW | SECURE DISPOSAL  |
| 1.4.2 | Records relating to the creation and publication of the school brochure or prospectus                         | No                     |                      | Current year + 3 years             | STANDARD DISPOSAL  |
| 1.4.3 | Records relating to the creation and distribution of circulars to staff, parents or pupils                    | No                     |                      | Current year + 1 year              | STANDARD DISPOSAL  |
| 1.4.4 | Newsletters and other items with a short operational use  | No                     |                      | Current year + 1 year              | STANDARD DISPOSAL  |
| 1.4.5 | Visitors' Books and Signing in Sheets   | Yes                    |                      | Current year + 6 years then REVIEW | SECURE DISPOSAL  |
| 1.4.6 | Records relating to the creation and management of Parent Teacher Associations and/or Old Pupils Associations | No                     |                      | Current year + 6 years then REVIEW | SECURE DISPOSAL  |

## 2. Human Resources

| 2.1 Recruitment |  |                        |   |  |  |
|-----------------|--|------------------------|---|--|--|
|                 | Basic file description   | Data Protection Issues | Statutory Provisions  | Retention Period [Operational]   | Action at the end of the administrative life of the record |
| 2.1.1           | All records leading up to the appointment of a new Headteacher                                     | Yes                    |   | All the relevant information should be added to the staff personal file (see below) and all other information retained for 6 months.   | SECURE DISPOSAL  |
| 2.1.2           | All records leading up to the appointment of a new member of staff – unsuccessful candidates       | Yes                    |   | Date of appointment of successful candidate + 6 months   | SECURE DISPOSAL  |
| 2.1.3           | All records leading up to the appointment of a new member of staff – successful candidate          | Yes                    |   | All the relevant information should be added to the staff personal file (see below) and all other information retained for 6 months  | SECURE DISPOSAL  |
| 2.1.4           | Pre-employment vetting information – DBS Checks  | No                     | DBS Update Service Employer Guide June 2014: Keeping children safe in Education. July 2015 (Statutory Guidance from Dept. of Education) Sections 73, 74 | The school does not have to keep copies of DBS certificates. If the school does so the copy must NOT be retained for more than 6 months. Relevant information should be recorded on the school Single Central Record whilst the individual is employed.  |  |
| 2.1.5           | Proofs of identity collected as part of the process of checking “portable” enhanced DBS disclosure | Yes                    |   | Where possible these should be checked and a note kept of what was seen and what has been checked. Relevant information should be recorded on the school Single Central Record whilst the individual is employed. If it is felt necessary to keep copy documentation then this should be placed on the member of staff’s personal file.                    |  |
| 2.1.6           | Pre-employment vetting information – Evidence proving the right to work in the United Kingdom      | Yes                    | An employer’s guide to right to work checks [Home Office May 2015]  | Where possible these documents should be added to the Staff Personal File [see below], but if they are kept separately then the Home Office requires that the documents are kept for termination of Employment plus not less than two years. Relevant information should be recorded on the school Single Central Record whilst the individual is employed |  |

## 2.2 Operational Staff Management

|       | Basic file description              | Data Protection Issues | Statutory Provisions            | Retention Period [Operational]  | Action at the end of the administrative life of the record |
|-------|-------------------------------------|------------------------|---------------------------------|---|--|
| 2.2.1 | Staff Personal File                 | Yes                    | Limitation Act 1980 (Section 2) | Termination of Employment + 6 years   | SECURE DISPOSAL  |
| 2.2.2 | Timesheets                          | Yes                    |                                 | Current year + 6 years  | SECURE DISPOSAL  |
| 2.2.3 | Annual appraisal/assessment records | Yes                    |                                 | Record should be retained whilst individual is employed and for six years from the date of termination of employment. | SECURE DISPOSAL  |

## 2.3 Management of Disciplinary and Grievance Processes

|       | Basic file description  | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]   | Action at the end of the administrative life of the record |
|-------|---|------------------------|--|--|--|
| 2.3.1 | Allegation of a child protection nature against a member of staff including where the allegation is unfounded | Yes                    | “Keeping children safe in education Statutory guidance for schools and colleges March 2015”; “Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015” | Until the person’s normal retirement age or 10 years from the date of the allegation whichever is the longer then REVIEW. Note allegations that are found to be malicious should be removed from personnel files. If found they are to be kept on the file and a copy provided to the person concerned | SECURE DISPOSAL  |
| 2.3.2 | Disciplinary Proceedings  | Yes                    |  |  | SECURE DISPOSAL  |
|       | Oral warning  |                        |  |  |  |
|       | Written warning – level 1   |                        |  | Disregarded after 12 months  |  |
|       | Final warning   |                        |  | Disregarded after 12 months  |  |
|       | Case not found  |                        |  | If the incident is child protection related then see above otherwise dispose of at the conclusion of the case  | SECURE DISPOSAL  |

## 2.4 Health and Safety

|       | Basic file description   | Data Protection Issues | Statutory Provisions  | Retention Period [Operational]   | Action at the end of the administrative life of the record |
|-------|--|------------------------|---|--|--|
| 2.4.1 | Health and Safety Policy Statements  | No                     |   | Life of policy + 3 years   | SECURE DISPOSAL  |
| 2.4.2 | Health and Safety Risk Assessments   | No                     |   | Life of risk assessment + 3 years  | SECURE DISPOSAL  |
| 2.4.3 | Records relating to accident/injury at work  | Yes                    |   | Date of incident + 12 years<br>In the case of serious accidents a further retention period will need to be applied | SECURE DISPOSAL  |
| 2.4.4 | Accident Reporting   | Yes                    | Social Security (Claims and Payments) Regulations 1979 Regulation 25. Social Security Administration Act 1992 Section 8. Limitation Act 1980  |  |  |
|       | Adults   |                        |   | Date of the incident + 6 years   | SECURE DISPOSAL  |
|       | Children   |                        |   | DOB of the child + 25 years  | SECURE DISPOSAL  |
| 2.4.5 | Control of Substances Hazardous to Health (COSHH)  | No                     | Control of Substances Hazardous to Health Regulations 2002. SI 2002 No 2677 Regulation 11; Records kept under the 1994 and 1999. Regulations to be kept as if the 2002 Regulations had not been made. Regulation 18 (2) | Current year + 40 years  | SECURE DISPOSAL  |
| 2.4.6 | Process of monitoring of areas where employees and persons are likely to have become in contact with asbestos  | No                     | Control of Asbestos at Work Regulations 2012 SI 1012 No 632 Regulation 19   | Last action + 40 years   | SECURE DISPOSAL  |
| 2.4.7 | Process of monitoring of areas where employees and persons are likely to have become in contact with radiation | No                     |   | Last action + 50 years   | SECURE DISPOSAL  |
| 2.4.8 | Fire Precautions log books   | No                     |   | Current year + 6 years   | SECURE DISPOSAL  |

## 2.5 Payroll and Pensions

|       | Basic file description   | Data Protection Issues | Statutory Provisions  | Retention Period [Operational] | Action at the end of the administrative life of the record |
|-------|--|------------------------|---|--------------------------------|--|
| 2.5.1 | Maternity pay records  | Yes                    | Statutory Maternity Pay (General) Regulations 1986 (SI1986/1960), revised 1999 (SI1999/567) | Current year + 3 years         | SECURE DISPOSAL  |
| 2.5.2 | Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995 | Yes                    |   | Current year + 6 years         | SECURE DISPOSAL  |



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### 3. Financial Management of the School

#### 3.1 Risk Management and Insurance

|       | Basic file description                     | Data Protection Issues | Statutory Provisions | Retention Period [Operational]   | Action at the end of the administrative life of the record |
|-------|--|------------------------|----------------------|----------------------------------|--|
| 3.1.1 | Employer's Liability Insurance Certificate | No                     |                      | Closure of the school + 40 years | SECURE DISPOSAL  |

#### 3.2 Asset Management

|       | Basic file description                     | Data Protection Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
|-------|--|------------------------|----------------------|--------------------------------|--|
| 3.2.1 | Inventories of furniture and equipment     | No                     |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.2.2 | Burglary, theft and vandalism report forms | No                     |                      | Current year + 6 years         | SECURE DISPOSAL  |

### 3.3 Accounts and Statements including Budget Management

|       | Basic file description   | Data Prot Issues | Statutory Provisions | Retention Period [Operational]                          | Action at the end of the administrative life of the record |
|-------|--|------------------|----------------------|---|--|
| 3.3.1 | Annual Accounts  | No               |                      | Current year + 6 years                                  | STANDARD DISPOSAL  |
| 3.3.2 | Loans and grants managed by the school   | No               |                      | Date of last payment on the loan + 12 years then REVIEW | SECURE DISPOSAL  |
| 3.3.3 | Student Grant applications   | Yes              |                      | Current year + 3 years                                  | SECURE DISPOSAL  |
| 3.3.4 | All records relating to the creation and management of budgets including the Annual Budget statement and background papers | No               |                      | Life of the budget + 3 years                            | SECURE DISPOSAL  |
| 3.3.5 | Invoices, receipts, order books and requisitions, delivery notices   | No               |                      | Current financial year + 6 years                        | SECURE DISPOSAL  |
| 3.3.6 | Records relating to the collection and banking of monies   | No               |                      | Current financial year + 6 years                        | SECURE DISPOSAL  |
| 3.3.7 | Records relating to the identification and collection of debt  | No               |                      | Current financial year + 6 years                        | SECURE DISPOSAL  |

### 3.4 Contract Management

|       | Basic file description  | Data Prot Issues | Statutory Provisions | Retention Period [Operational]          | Action at the end of the administrative life of the record |
|-------|---|------------------|----------------------|---|--|
| 3.4.1 | All records relating to the management of contracts under seal      | No               | Limitation Act 1980  | Last payment on the contract + 12 years | SECURE DISPOSAL  |
| 3.4.2 | All records relating to the management of contracts under signature | No               | Limitation Act 1980  | Last payment on the contract + 6 years  | SECURE DISPOSAL  |
| 3.4.3 | Records relating to the monitoring of contracts                     | No               |                      | Current year + 2 years                  | SECURE DISPOSAL  |



## 3.5 School Fund

|       | Basic file description        | Data Prot Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
|-------|-------------------------------|------------------|----------------------|--------------------------------|--|
| 3.5.1 | School Fund - Cheque books    | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.2 | School Fund - Paying in books | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.3 | School Fund - Ledger          | Yes              |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.4 | School Fund - Invoices        | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.5 | School Fund - Receipts        | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.6 | School Fund - Bank statements | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.5.7 | School Fund - Journey Books   | No               |                      | Current year + 6 years         | SECURE DISPOSAL  |

## 3.6 School Meals Management

|       | Basic file description      | Data Prot Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
|-------|-----------------------------|------------------|----------------------|--------------------------------|--|
| 3.6.1 | Free School Meals Registers | Yes              |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 3.6.2 | School Meals Registers      | Yes              |                      | Current year + 3 years         | SECURE DISPOSAL  |
| 3.6.3 | School Meals Summary Sheets | No               |                      | Current year + 3 years         | SECURE DISPOSAL  |

## 4. Property Management

| 4.1 Property Management |  |                        |                      |  |  |
|-------------------------|--|------------------------|----------------------|--|--|
|                         | Basic file description                             | Data Protection Issues | Statutory Provisions | Retention Period [Operational]   | Action at the end of the administrative life of the record |
| 4.1.1                   | Title deeds of properties belonging to the school  | No                     |                      | PERMANENT<br>These should follow the property unless the property has been registered with the Land Registry                                   |  |
| 4.1.2                   | Plans of property belong to the school             | No                     |                      | These should be retained whilst the building belongs to the school and should be passed onto any new owners if the building is leased or sold. |  |
| 4.1.3                   | Leases of property leased by or to the school      | No                     |                      | Expiry of lease + 6 years  | SECURE DISPOSAL  |
| 4.1.4                   | Records relating to the letting of school premises | No                     |                      | Current financial year + 6 years   | SECURE DISPOSAL  |

| 4.2 Maintenance |   |                        |                      |                                |  |
|-----------------|---|------------------------|----------------------|--------------------------------|--|
|                 | Basic file description  | Data Protection Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
| 4.2.1           | All records relating to the maintenance of the school carried out by contractors                                      | No                     |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 4.2.2           | All records relating to the maintenance of the school carried out by school employees including maintenance log books | No                     |                      | Current year + 6 years         | SECURE DISPOSAL  |

## 5. Pupil Management

| 5.1 Pupil's Educational Record |   |                        |   |   |   |
|--------------------------------|---|------------------------|---|---|---|
|                                | Basic file description  | Data Protection Issues | Statutory Provisions  | Retention Period [Operational]                        | Action at the end of the administrative life of the record  |
| 5.1.1                          | Pupil's Educational Record required by The Education (Pupil Information) (England) Regulations 2005 | Yes                    | The Education (Pupil Information) (England) Regulations 2005 SI 2005 No. 1437 |   |   |
|                                | Primary   |                        |   | Retain whilst the child remains at the primary school | <p>The file should follow the pupil when he/she leaves the primary school. This will include:</p> <ul style="list-style-type: none"> <li>• to another primary school</li> <li>• to a secondary school</li> <li>• to a pupil referral unit</li> <li>• If the pupil dies whilst at primary school the file should be returned to the Local Authority to be retained for the statutory retention period.</li> </ul> <p>If the pupil transfers to an independent school, transfers to home schooling or leaves the country the file should be returned to the Local Authority to be retained for the statutory retention period. Primary Schools do not ordinarily have sufficient storage space to store records for pupils who have not transferred in the normal way. It makes more sense to transfer the record to the Local Authority as it is more likely that the pupil will request the record from the Local Authority</p> |
|                                | Secondary   |                        | Limitation Act 1980 (Section 2)   | Date of Birth of the pupil + 25 years                 | SECURE DISPOSAL   |
| 5.1.2                          | Examination Results – Pupil Copies  | Yes                    |   |   |   |
|                                | Public  |                        |   | This information should be added to the pupil file    | All uncollected certificates should be returned to the examination board.   |
|                                | Internal  |                        |   | This information should be added to the pupil file    |   |

**This review took place as the Independent Inquiry on Child Sexual Abuse was beginning. In light of this, it is recommended that all records relating to child abuse are retained until the Inquiry is completed. This section will then be reviewed again to take into account any recommendations the Inquiry might make concerning record retention**

|       |   |     |  |   |  |
|-------|---|-----|--|---|--|
| 5.1.3 | Child Protection information held on pupil file     | Yes | “Keeping children safe in education Statutory guidance for schools and colleges March 2015”; “Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015” | If any records relating to child protection issues are placed on the pupil file, it should be in a sealed envelope and then retained for the same period of time as the pupil file.   | SECURE DISPOSAL – these records MUST be shredded |
| 5.1.4 | Child protection information held in separate files | Yes | “Keeping children safe in education Statutory guidance for schools and colleges March 2015”; “Working together to safeguard children. A guide to inter-agency working to safeguard and promote the welfare of children March 2015” | DOB of the child + 25 years then review. This retention period was agreed in consultation with the Safeguarding Children Group on the understanding that the principal copy of this information will be found on the Local Authority Social Services record | SECURE DISPOSAL – these records MUST be shredded |

## 5.2 Attendance

|       | Basic file description                        | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]   | Action at the end of the administrative life of the record |
|-------|---|------------------------|--|--|--|
| 5.2.1 | Attendance Registers                          | Yes                    | School attendance: Departmental advice for maintained schools, academies, independent schools and local authorities October 2014 | Every entry in the attendance register must be preserved for a period of three years after the date on which the entry was made. | SECURE DISPOSAL  |
| 5.2.2 | Correspondence relating to authorized absence |                        | Education Act 1996 Section 7   | Current academic year + 2 years  | SECURE DISPOSAL  |

## 5.3 Special Educational Needs

|       | Basic file description  | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]  | Action at the end of the administrative life of the record   |
|-------|---|------------------------|--|---|--|
| 5.3.1 | Special Educational Needs files, reviews and Individual Education Plans                                   | Yes                    | Limitation Act 1980 (Section 2)  | Date of Birth of the pupil + 25 years   | REVIEW<br>NOTE: This retention period is the minimum retention period that any pupil file should be kept. Some authorities choose to keep SEN files for a longer period of time to defend themselves in a "failure to provide a sufficient education" case. There is an element of business risk analysis involved in any decision to keep the records longer than the minimum retention period and this should be documented. |
| 5.3.2 | Statement maintained under section 234 of the Education Act 1990 and any amendments made to the statement | Yes                    | Education Act 1996 Special Educational Needs and Disability Act 2001 Section 1 | Date of birth of the pupil + 25 years [This would normally be retained on the pupil file] | SECURE DISPOSAL unless the document is subject to a legal hold   |
| 5.3.3 | Advice and information provided to parents regarding educational needs                                    | Yes                    | Special Educational Needs and Disability Act 2001 Section 2                    | Date of birth of the pupil + 25 years [This would normally be retained on the pupil file] | SECURE DISPOSAL unless the document is subject to a legal hold   |
| 5.3.4 | Accessibility Strategy  | Yes                    | Special Educational Needs and Disability Act 2001 Section 14                   | Date of birth of the pupil + 25 years [This would normally be retained on the pupil file] | SECURE DISPOSAL unless the document is subject to a legal hold   |

## 6. Curriculum Management

| 6.1 Statistics and Management Information |  |                        |                      |  |  |
|---|--|------------------------|----------------------|--|--|
|   | Basic file description                   | Data Protection Issues | Statutory Provisions | Retention Period [Operational]   | Action at the end of the administrative life of the record |
| 6.1.1                                     | Curriculum returns                       | No                     |                      | Current year + 3 years   | SECURE DISPOSAL  |
| 6.1.2                                     | Examination Results (Schools Copy)       | Yes                    |                      | Current year + 6 years   | SECURE DISPOSAL  |
|   | SATS records –                           | Yes                    |                      |  | SECURE DISPOSAL  |
|   | Results                                  |                        |                      | The SATS results should be recorded on the pupil's educational file and will therefore be retained until the pupil reaches the age of 25 years. The school may wish to keep a composite record of all the whole year SATs results. These could be kept for current year + 6 years to allow suitable comparison | SECURE DISPOSAL  |
|   | Examination Papers                       |                        |                      | The examination papers should be kept until any appeals/validation process is complete   | SECURE DISPOSAL  |
| 6.1.3                                     | Published Admission Number (PAN) Reports | Yes                    |                      | Current year + 6 years   | SECURE DISPOSAL  |
| 6.1.4                                     | Value Added and Contextual Data          | Yes                    |                      | Current year + 6 years   | SECURE DISPOSAL  |
| 6.1.5                                     | Self-Evaluation Forms                    | Yes                    |                      | Current year + 6 years   | SECURE DISPOSAL  |

## 6.2 Implementation of Curriculum

|       | Basic file description | Data Protection Issues | Statutory Provisions | Retention Period [Operational]  | Action at the end of the administrative life of the record   |
|-------|------------------------|------------------------|----------------------|---|--|
| 6.2.1 | Schemes of Work        | No                     |                      | Current year + 1 year   | It may be appropriate to review these records at the end of each year and allocate a further retention period or SECURE DISPOSAL |
| 6.2.2 | Timetable              | No                     |                      | Current year + 1 year   |  |
| 6.2.3 | Class Record Books     | No                     |                      | Current year + 1 year   |  |
| 6.2.4 | Mark Books             | No                     |                      | Current year + 1 year   |  |
| 6.2.5 | Record of homework set | No                     |                      | Current year + 1 year   |  |
| 6.2.6 | Pupils' Work           | No                     |                      | Where possible pupils' work should be returned to the pupil at the end of the academic year if this is not the school's policy then current year + 1 year | SECURE DISPOSAL  |



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## 7. Extra-Curricular Activities

### 7.1 Educational Visits outside the Classroom

|       | Basic file description  | Data Protection Issues | Statutory Provisions   | Retention Period [Operational]  | Action at the end of the administrative life of the record  |
|-------|---|------------------------|--|---|---|
| 7.1.1 | Records created by schools to obtain approval to run an Educational Visit outside the Classroom – Primary Schools   | No                     | Outdoor Education Advisers' Panel National Guidance website <a href="http://oeapng.info">http://oeapng.info</a> specifically Section 3 - "Legal Framework and Employer Systems" and Section 4 - "Good Practice". | Date of visit + 14 years  | SECURE DISPOSAL   |
| 7.1.2 | Records created by schools to obtain approval to run an Educational Visit outside the Classroom – Secondary Schools | No                     | Outdoor Education Advisers' Panel National Guidance website <a href="http://oeapng.info">http://oeapng.info</a> specifically Section 3 - "Legal Framework and Employer Systems" and Section 4 - "Good Practice". | Date of visit + 10 years  | SECURE DISPOSAL   |
| 7.1.3 | Parental consent forms for school trips where there has been no major incident                                      | Yes                    |  | Conclusion of the trip  | Although the consent forms could be retained for DOB + 22 years, the requirement for them being needed is low and most schools do not have the storage capacity to retain every single consent form issued by the school for this period of time. |
| 7.1.4 | Parental permission slips for school trips – where there has been a major incident                                  | Yes                    | Limitation Act 1980 (Section 2)  | DOB of the pupil involved in the incident + 25 years<br>The permission slips for all the pupils on the trip need to be retained to show that the rules had been followed for all pupils |   |



## 7.2 Walking Bus

|       | Basic file description | Data Protection Issues | Statutory Provisions   | Retention Period [Operational] | Action at the end of the administrative life of the record   |
|-------|------------------------|------------------------|--|--------------------------------|--|
| 7.2.1 | Walking Bus Registers  | Yes                    | Date of register + 3 years<br>This takes into account the fact that if there is an incident requiring an accident report the register will be submitted with the accident report and kept for the period of time required for accident reporting | Date of visit + 14 years       | SECURE DISPOSAL<br>[If these records are retained electronically any backup copies should be destroyed at the same time] |

## 7.3 Family Liaison Officers and Home School Liaison Assistants

|       | Basic file description   | Data Protection Issues | Statutory Provisions | Retention Period [Operational]  | Action at the end of the administrative life of the record |
|-------|--|------------------------|----------------------|---|--|
| 7.3.1 | Day Books  | Yes                    |                      | Current year + 2 years then review                                    |  |
| 7.3.2 | Reports for outside agencies - where the report has been included on the case file created by the outside agency | Yes                    |                      | Whilst child is attending school and then destroy                     |  |
| 7.3.3 | Referral forms   | Yes                    |                      | While the referral is current   |  |
| 7.3.4 | Contact data sheets  | Yes                    |                      | Current year then review, if contact is no longer active then destroy |  |
| 7.3.5 | Contact database entries   | Yes                    |                      | Current year then review, if contact is no longer active then destroy |  |
| 7.3.6 | Group Registers  | Yes                    |                      | Current year + 2 years  |  |

## 8. Central Government and Local Authority

| 8.1 Local Authority |   |                        |                      |                                |  |
|---------------------|---|------------------------|----------------------|--------------------------------|--|
|                     | Basic file description  | Data Protection Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
| 8.1.1               | Secondary Transfer Sheets (Primary)                           | Yes                    |                      | Current year + 2 years         | SECURE DISPOSAL  |
| 8.1.2               | Attendance Returns  | Yes                    |                      | Current year + 1 year          | SECURE DISPOSAL  |
| 8.1.3               | School Census Returns   | No                     |                      | Current year + 5 years         | SECURE DISPOSAL  |
| 8.1.4               | Circulars and other information sent from the Local Authority | No                     |                      | Operational use                | SECURE DISPOSAL  |

| 8.1 Local Authority |  |                        |                      |                                |  |
|---------------------|--|------------------------|----------------------|--------------------------------|--|
|                     | Basic file description                                       | Data Protection Issues | Statutory Provisions | Retention Period [Operational] | Action at the end of the administrative life of the record |
| 8.2.1               | OFSTED reports and papers                                    | No                     |                      | Life of the report then REVIEW | SECURE DISPOSAL  |
| 8.2.2               | Returns made to central government                           | No                     |                      | Current year + 6 years         | SECURE DISPOSAL  |
| 8.2.3               | Circulars and other information sent from central government | No                     |                      | Operational use                | SECURE DISPOSAL  |



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Information Governance – Stockport Metropolitan Borough Council

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